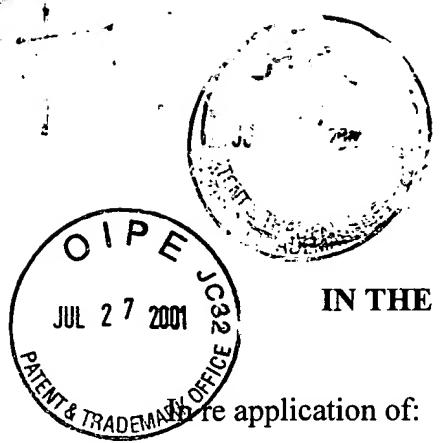


GP 213 #3/A
#3 10-11-02
M.L.

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The application of:

Houston et al.

Serial No.: 09/844,448

Filed: April 27, 2001

Title: Method and System for Managing
Security Events on a Network

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Technology Center 2100

Art Unit: 2131

PRELIMINARY AMENDMENT

Commissioner of Patents
Box Patent Application
Washington, DC 20231

Dear Sir:

Prior to examination of the above-styled patent application, please amend the application as shown below and consider the appended remarks. Applicants submit this Preliminary Amendment within 3 months of the filing date of this application in accordance with 37 C.F.R. § 1.115(b)(2)(i).

FEES

Applicants recognize that the following amendment increases the number of independent claims and dependent claims. However, the present application was not filed with a filing fee. Applicants have already received the Notice to File Missing Parts and intend to respond to the

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail having Express Mail No. EL 876208679 US in an envelope addressed to: Commissioner of Patents, Box Patent Applications, Washington, D.C. 20231, on July 27, 2001.

Robert T. Neufeld, Reg. No. P-48-394